



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/982,122	10/18/2001	Andrew J. Becker	60001.0096US01

27488  
 MERCHANT & GOULD  
 P.O. BOX 2903  
 MINNEAPOLIS, MN 55402-0903

CONFIRMATION NO. 9354  
 FORMALITIES LETTER



\*OC000000007103355\*

Date Mailed: 11/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$108.
  - \$108 for 6 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 978.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/03/2002 BN GUYEN1 00000060 09982122

01 FC:101	740.00 OP
02 FC:103	108.00 OP
03 FC:105	130.00 OP

S/N 09/982,122



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Becker et al.

Docket: 60001.0096US01/  
MS172024.1

Serial No.: 09/982,122

Filed: October 18, 2001

Title: Method, System, and Apparatus for Providing Access to Asynchronous  
Data in a Spreadsheet Application Program

Due Date: January 21, 2001

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited via First Class Mail in an envelope addressed to: U.S. Patent and Trademark Office, Washington, D.C. 20231, on November 29, 2001.

By: *Leonard J. Hope*  
Leonard J. Hope

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed November 21, 2001, Applicant encloses herewith:

1. an executed Declaration and Power of Attorney;
2. an executed Assignment and Assignment Recordal Sheet;
3. Two checks; one in the amount of \$978 to cover the governmental filing fee and surcharge, and one in the amount of \$40 to cover the Assignment Recordal Fee; and
4. a duplicate copy of the Notice to File Missing Parts of Nonprovisional Application.

The filing fee is calculated as follows:

Number of Claims Filed		In Excess of:		Number Extra		Rate		Fee
<b>Basic Filing Fee</b>								<b>\$740.00</b>
<b>Total Claims</b>								
26	-	20	=	6	x	18.00	=	\$108.00
<b>Independent Claims</b>								
3	-	3	=	0	x	80.00	=	\$0.00
<b>MULTIPLE DEPENDENT CLAIM FEE</b>								<b>\$0.00</b>
<b>SURCHARGE</b>								<b>\$130.00</b>
<b>TOTAL FILING FEE</b>								<b>\$978.00</b>

Applicant believes that the submission of these papers complete the filing of all necessary papers and respectfully requests that the referenced application be passed along for examination.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD

*Leonard J. Hope*

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